

# Cardinal invariants and the Borel conjecture

Tatsuya Goto

Kobe University

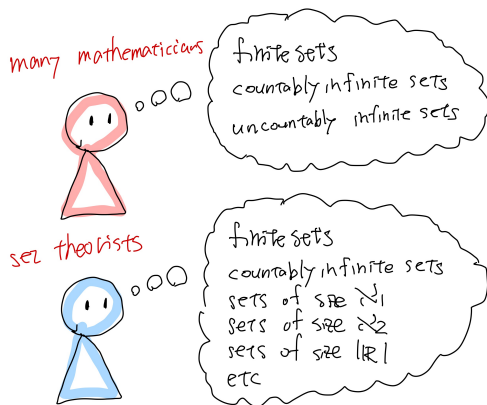
April 26, 2023  
at Kobe Logic Seminar

- ① Introduction to set theory of reals
- ② The Borel conjecture
- ③ The problem the speaker wants to solve

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- 2 The Borel conjecture
- 3 The problem the speaker wants to solve

# Cardinalities

There is a difference in the precision of the idea of cardinalities in many mathematicians and set theorists.

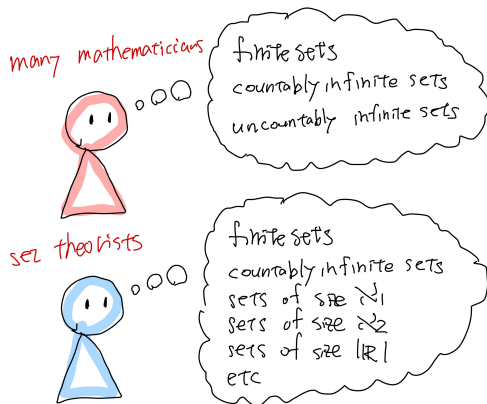


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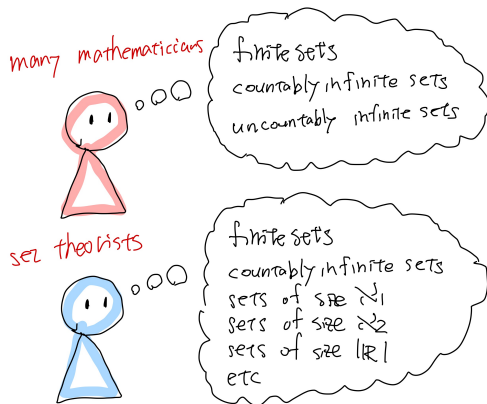


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# A motivational question

## Question A

How many sets of measure 0 are needed to cover the real line?

Many mathematicians may answer that it is uncountably infinite and then they consider the question answered completely.

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But set theorists consider this answer is not complete.

# Why this answer is not complete?

This is because there are many uncountably infinite cardinals.

Moreover, Gödel and Cohen showed that the continuum hypothesis ( $|\mathbb{R}| = \aleph_1$ ) is independent from ZFC.

Thus, set theorists was more deeply interested in issues such as:

- Is the answer of Question A  $\aleph_1$ ?
- Is the answer of Question A  $|\mathbb{R}|$ ?

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# Cardinal invariants

To better understand the answer to Question A, name it  $\text{cov}(\mathcal{N})$ .  
That is, let

$$\text{cov}(\mathcal{N}) = \min\{\kappa \text{ cardinal} : \mathbb{R} \text{ can be covered by } \kappa \text{ many sets of measure } 0\}.$$

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# Set theory of reals

We investigate whether a greater-than-or-equal-to or less-than-or-equal-to relationship can be shown between cardinal invariants, or whether a consistency of greater-than or less-than relationship can be established.

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# Meager sets

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A set  $X \subseteq \mathbb{R}$  is called **meager** if  $X$  is a union set of countably many nowhere dense sets.

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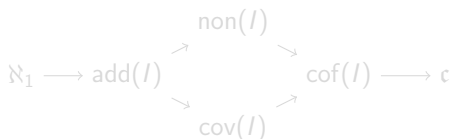
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# Definition of some cardinal invariants (1)

$\mathcal{N}$  and  $\mathcal{M}$  denotes the collections of Lebesgue measure 0 sets and meager sets respectively. Let  $I$  be any of  $\mathcal{N}$  or  $\mathcal{M}$ .

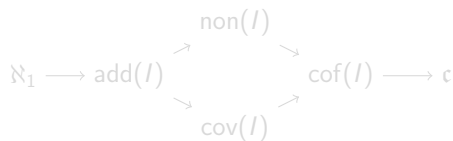
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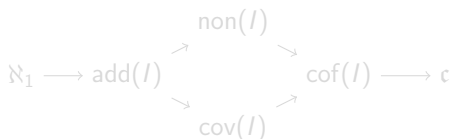




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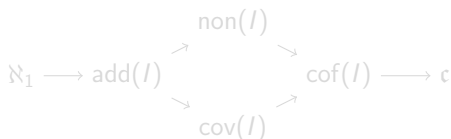
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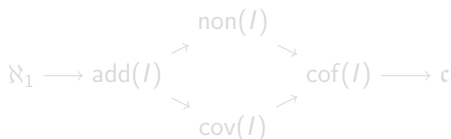
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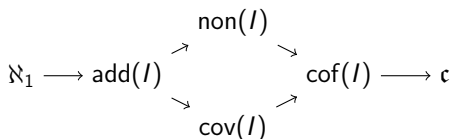
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## Definition of some cardinal invariants (2)

Let  $\omega^\omega$  denotes the set of all functions from  $\omega$  to  $\omega$ . Define a partial preorder  $\leq^*$  into  $\omega^\omega$  by:

$$x \leq^* y \iff \text{for all but finitely many } n, x(n) \leq y(n).$$

( $y$  dominates  $x$ ).

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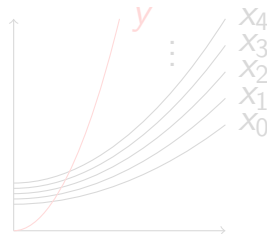
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# Definition of some cardinal invariants (3)

- $\mathfrak{b} = \min\{|F| : F \subseteq \omega^\omega \text{ and there is no single } y \in \omega^\omega \text{ such that every } x \in F \text{ is dominated by } y\}$ .
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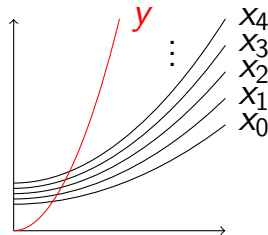
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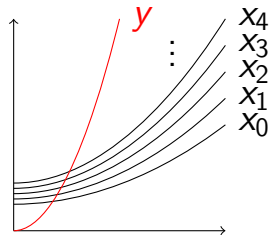




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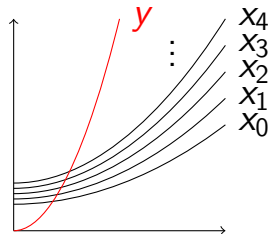
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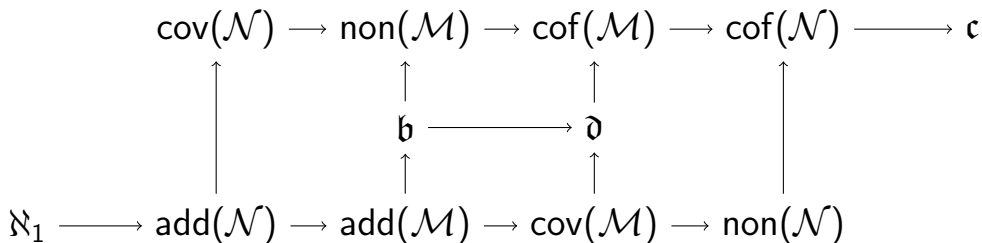
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# Cichoń's diagram

In the following diagram, the arrow drawn from a cardinal  $A$  to another cardinal  $B$  indicates that  $A \leq B$  is provable from ZFC.



This diagram is complete in the sense that we can draw no more lines.

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# The Borel conjecture

## Definition (strongly measure zero)

A set  $A \subseteq \mathbb{R}$  is called a **strongly measure zero** set if for every sequence  $\langle \varepsilon_n : n \in \omega \rangle$  of positive real numbers there is a sequence  $\langle I_n : n \in \omega \rangle$  of intervals such that the length of  $I_n$  is smaller than  $\varepsilon_n$  for every  $n$  and  $A \subseteq \bigcup_{n \in \omega} I_n$ .

It holds that  $\text{countable} \subseteq \mathcal{SN} \subseteq \mathcal{N}$ .

## The Borel conjecture

The **Borel conjecture** states that every strongly measure zero set is countable.

# The Galvin-Mycielski-Solovay theorem

## The Galvin-Mycielski-Solovay theorem

$X \subseteq \mathbb{R}$  is strongly measure zero iff, for every  $M \in \mathcal{M}$ ,  $X + M \neq \mathbb{R}$ .

( $\because$ ) We only show the easy direction  $\Leftarrow$ . Fix  $\langle \varepsilon_n : n \in \omega \rangle$ . Let  $\mathbb{Q} = \{q_n : n \in \omega\}$ . Put  $I_n = (q_n - \varepsilon_n/2, q_n + \varepsilon_n/2)$ . Then  $M := \mathbb{R} \setminus \bigcup_n I_n$  is meager. So by the assumption, we can take  $z \in \mathbb{R}$  such that  $z \notin X + M$ . This implies  $X \subseteq (z + (-M))^c$ . Put  $J_n = z + (-I_n)$ . Then  $X \subseteq \bigcup_n J_n$ .  $\square$

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# The Galvin-Mycielski-Solovay theorem

## Corollary

Every set of reals of size  $< \text{cov}(\mathcal{M})$  is strongly measure zero.

( $\because$ ) Let  $X \subseteq \mathbb{R}$ ,  $|X| < \text{cov}(\mathcal{M})$ . Let  $M \in \mathcal{M}$ . Then  $\bigcup_{x \in X} (x + M) \neq \mathbb{R}$  by  $x + M \in \mathcal{M}$  and  $|X| < \text{cov}(\mathcal{M})$ . But  $\bigcup_{x \in X} (x + M) = X + M$ . □

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# $\mathfrak{b} > \aleph_1$ is necessary

## Fact

Assume  $\mathfrak{b} = \aleph_1$ . Then there is an uncountable strongly measure zero set.

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Setting:  $F \subseteq \omega^\omega$  is an increasing unbounded family of size  $\aleph_1$ .

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Since  $2^\omega \setminus U$  is a compact set and  $\iota^{-1}$  is continuous,  $Y$  is also compact. So there is a  $g$  such that  $y \leq^* g$  for every  $y \in Y$ .

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# Necessary conditions for the Borel conjecture

## Fact

Each of the statement  $\text{cov}(\mathcal{M}) = \aleph_1$  and  $\mathfrak{b} > \aleph_1$  is a necessary condition for the Borel conjecture.

Although the invariants  $\text{cov}(\mathcal{M})$  and  $\mathfrak{b}$  were not defined at the time Laver published his paper, the speaker believes that Laver must have been making essentially the same observation.

This observation led Laver to define the Laver forcing to get the consistency of the Borel conjecture.

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# Laver's theorem

## Laver's theorem

If ZFC is consistent, then so is  $ZFC + (\text{the Borel conjecture})$ .

Laver invented the Laver forcing to prove this theorem.

- ① Introduction to set theory of reals
- ② The Borel conjecture
- ③ The problem the speaker wants to solve

# Some collections of small sets of reals

Let  $\mathcal{SN}$  be the set of strong measure zero sets. Let  $\mathcal{SM}$  be the set of **strongly meager sets**, that is

$$\mathcal{SM} = \{X \subseteq \mathbb{R} : \text{for every } N \in \mathcal{N}, X + N \neq \mathbb{R}\}.$$

Let  $I, J \subseteq \mathcal{P}(\mathbb{R})$ . Define  $(I, J)^* \subseteq \mathcal{P}(\mathbb{R})$  by

$$(I, J)^* = \{X \subseteq \mathbb{R} : \text{for every } A \in I, A + X \in J\}.$$

For  $I \subseteq \mathcal{P}(\mathbb{R})$ , define  $I^*$  by  $I^* = (I, I)^*$ .

$\mathcal{E}$

Let

$\mathcal{E} = \{X \subseteq \mathbb{R} : X \text{ is covered by countably many closed measure 0 sets}\}.$

It holds that  $\mathcal{E} \subseteq \mathcal{M} \cap \mathcal{N}$ .

# The dual Borel conjecture

**The dual Borel conjecture** states that every strongly meager set is countable.

## Carlson's theorem

If ZFC is consistent, then so is  $ZFC +$  (the dual Borel conjecture).

Carlson used the Cohen forcing to show this.

# The problem the speaker wants to solve

## Fact

$$\begin{array}{c}
 \mathcal{SM} \subsetneq (\mathcal{E}, \mathcal{M})^* \\
 \subsetneq \\
 \mathcal{N}^* = (\mathcal{M} \cap \mathcal{N})^* \subsetneq \mathcal{E}^* = \mathcal{M}^* \subsetneq (\mathcal{E}, \mathcal{M} \cap \mathcal{N})^* \\
 \subsetneq \\
 (\mathcal{E}, \mathcal{N})^* = \mathcal{SN}
 \end{array}$$

## Problem

Is it consistent that  $(\mathcal{E}, \mathcal{M})^* = \text{countable}$ ?

# The problem the speaker wants to solve

## Fact

Each of the statement  $\text{cov}(\mathcal{N}) = \aleph_1$ ,  $\text{cov}(\mathcal{M}) = \aleph_1$  and  $\mathfrak{b} > \aleph_1$  is a necessary condition for  $(\mathcal{E}, \mathcal{M})^* = \text{countable}$ .

The claim about  $\mathfrak{b}$  is due to Bartoszynski.

# Approaches to the problem

It is consistent that both the Borel conjecture and the dual Borel conjecture hold simultaneously. So if we have

$$(\mathcal{E}, \mathcal{M})^* \subseteq \{X + Y : X \in \mathcal{SN}, Y \in \mathcal{SM}\},$$

then the problem is solved.

Another possible approach would be to read the proof of BC+dBC consistency and imitate that method.



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